

The Value of Donor Research

January 29, 2019

Donor Compensation for Public Company Insiders - SEC Forms and Searching

SEC Forms – www.sec.gov

- **Def. 14-A (Proxy)** – 1x per year
 - Compensation of Director/Executives and Stock Ownership persons 10%+
 - Count Salary, Bonus, Stock Awards (Direct # shares), Non-Equity Incentive
- **4 (Statement of Changes to Beneficial Ownership)** – Transactions throughout year; also check **3 (initial Statement of Beneficial Ownership of Securities)** and **5 (Annual Statement of Changes in Beneficial Ownership of Securities)**

Searching **SEC.gov** (See upcoming White Paper – www.rogermagnusresearch.com)

**www.sec.gov - Filings (drop down menu) > Company Filing
Search > entries listed below (left banner)**

- **Company Name (if known)** – Search on company name and field to Include search forms 3, 4, and 5).
- **Boolean Archive Search** – Can place AND operator between first name, name, Company Name, and Form Type.
- **Full-Text Search (last 4 years) then Advanced Search** – Search on individual name (try last name/first name or first name/last name or last name by itself), Company Name and Form Type.